

General information about company	
Scrip code	540175
NSE Symbol	NOTLISTED
MSEI Symbol	NOTLISTED
ISIN	INE964R01013
Name of the entity	REGENCY FINCORP LIMITED
Date of start of financial year	01-04-2024
Date of end of financial year	31-03-2025
Reporting Quarter	Quarterly
Date of Report	30-06-2024
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I								
Annexure I to be submitted by listed entity on quarterly basis								
I. Composition of Board of Directors								
Disclosure of notes on composition of board of directors explanatory								
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							Yes	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Gaurav Kumar	BRJPK2015C	06717452	Executive Director	Chairperson	MD	04-02-1977
2	Mr	Vishal Rai Sarin	APJPS0737C	08758350	Executive Director	Not Applicable		30-03-1978
3	Mr	Sunil Jindal	AEWPJ0470M	02909819	Non-Executive - Independent Director	Not Applicable		04-03-1984
4	Mr	Kamal Kumar	AJNPM0088J	03050458	Non-Executive - Independent Director	Not Applicable		26-08-1978
5	Mr	Sarfaraz Mallick	ASGPM2874E	10255433	Non-Executive - Non Independent Director	Not Applicable		27-01-1983
6	Ms	Dolly Setia	BHIPS8296N	10501076	Non-Executive - Independent Director	Not Applicable		05-10-1982
7	Ms	Saloni Shrivastav	DUKPS8481Q	07746707	Non-Executive - Independent Director	Not Applicable		22-07-1992

I. Composition of Board of Directors					
Disqualification of Directors under section 164 of the Companies Act, 2013					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active

I. Composition of Board of Directors													
Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
1	NA		13-09-2017	26-09-2022			1	0	2	0			
2	NA		05-09-2020				1	0	0	0			
3	NA		19-03-2021			39.13	1	1	2	0			
4	NA		10-10-2022			20.22	1	1	0	2			
5	NA		31-10-2023				1	0	0	1			
6	NA		28-02-2024			4.2	1	1	1	0			
7	NA		06-01-2024			5.26	1	1	0	0			

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03050458	Kamal Kumar	Non-Executive - Independent Director	Chairperson	28-02-2024		
2	02909819	Sunil Jindal	Non-Executive - Independent Director	Member	31-10-2023		
3	06717452	Gaurav Kumar	Executive Director	Member	24-08-2022		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03050458	Kamal Kumar	Non-Executive - Independent Director	Chairperson	31-10-2023		
2	02909819	Sunil Jindal	Non-Executive - Independent Director	Member	19-03-2021		
3	07746707	Saloni Shrivastav	Non-Executive - Independent Director	Member	06-01-2024		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03050458	Kamal Kumar	Non-Executive - Independent Director	Chairperson	30-04-2024		
2	02909819	Sunil Jindal	Non-Executive - Independent Director	Member	31-10-2023		
3	06717452	Gaurav Kumar	Executive Director	Member	24-08-2022		
4	10501076	Dolly Setia	Non-Executive - Independent Director	Member	28-02-2024		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory							Textual Information(1)	No. of Independent Directors attending the meeting*
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	
1	14-03-2024				Yes	7	7	4
2		30-04-2024	46		Yes	7	7	4
3		08-05-2024	7		No	7	2	1
4		10-05-2024	1		Yes	7	7	4
5		24-06-2024	44		Yes	7	7	4

Text Block	
Textual Information(1)	The board meeting held on 10th May 2024 was originally scheduled to be held on 08th May 2024 but it was adjourned due to non availability of Quorum on 08th May, 2024.

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory							Textual Information(1)			
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	28-02-2024				Yes	3	3	2	0
2	Audit Committee	30-04-2024	61			Yes	3	3	2	0
3	Audit Committee	02-05-2024	1			Yes	3	3	2	0
4	Audit Committee	08-05-2024	5			No	1	1	0	0
5	Audit Committee	10-05-2024	1			Yes	3	3	2	0
6	Nomination and remuneration committee	28-02-2024				Yes	3	3	3	0

Annexure 1

IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Nomination and remuneration committee	08-05-2024	69			No	3	1	1	0
8	Nomination and remuneration committee	10-05-2024	1			Yes	3	3	3	0
9	Stakeholders Relationship Committee	09-01-2024				Yes	3	3	2	0
10	Stakeholders Relationship Committee	30-04-2024	111			Yes	4	4	3	0

Text Block	
Textual Information(1)	<p>The Audit Committee Meeting held on 10th May 2024 was originally scheduled to be held on 08th May 2024 but it was adjourned due to non availability of Quorum on 08th May, 2024.</p> <p>The Nomination & Remuneration Committee Meeting held on 10th May 2024 was originally scheduled to be held on 08th May 2024 but it was adjourned due to non availability of Quorum on 08th May, 2024.</p>

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1**VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Prashant Khera
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

Signatory Details	
Name of signatory	Prashant Khera
Designation of person	Company Secretary and Compliance Officer
Place	Zirakpur
Date	20-07-2024

